



# Spravato™ (esketamine) Medication Precertification Request

Page 1 of 2

(All fields must be completed and legible for precertification review.)

Aetna Precertification Notification

Phone: 1-866-752-7021

FAX: 1-888-267-3277

For Medicare Advantage Part B:

Phone: 1-866-503-0857

FAX: 1-844-268-7263

**Please indicate:**  Start of treatment: Start date \_\_\_\_/\_\_\_\_/\_\_\_\_  
 Continuation of therapy, Date of last treatment \_\_\_\_/\_\_\_\_/\_\_\_\_

**Precertification Requested By:** \_\_\_\_\_ **Phone:** \_\_\_\_\_ **Fax:** \_\_\_\_\_

### A. PATIENT INFORMATION

First Name:		Last Name:		DOB:	
Address:			City:		State: ZIP:
Home Phone:		Work Phone:		Cell Phone: Email:	
Patient Current Weight: _____ lbs or _____ kgs		Patient Height: _____ inches or _____ cms		Allergies:	

### B. INSURANCE INFORMATION

Aetna Member ID #: _____		Does patient have other coverage? <input type="checkbox"/> Yes <input type="checkbox"/> No	
Group #: _____		If yes, provide ID#: _____ Carrier Name: _____	
Insured: _____		Insured: _____	
Medicare: <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide ID #:		Medicaid: <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide ID #:	

### C. PRESCRIBER INFORMATION

First Name:		Last Name:		(Check One): <input type="checkbox"/> M.D. <input type="checkbox"/> D.O. <input type="checkbox"/> N.P. <input type="checkbox"/> P.A.	
Address:			City:		State: ZIP:
Phone:		Fax:		St Lic #: NPI #: DEA #: UPIN:	
Provider Email:			Office Contact Name:		Phone:
Specialty (Check one): <input type="checkbox"/> Psychiatrist <input type="checkbox"/> Other: _____					

### D. DISPENSING PROVIDER/ADMINISTRATION INFORMATION

<b>Place of Administration:</b>		<b>Dispensing Provider/Pharmacy: Patient Selected choice</b>	
<input type="checkbox"/> Self-administered	<input type="checkbox"/> Physician's Office	<input type="checkbox"/> Physician's Office	<input type="checkbox"/> Retail Pharmacy
<input type="checkbox"/> Outpatient Infusion Center	Phone: _____	<input type="checkbox"/> Specialty Pharmacy	<input type="checkbox"/> Other _____
Center Name: _____		Name: _____	
<input type="checkbox"/> Home Infusion Center	Phone: _____	Address: _____	
Agency Name: _____		Phone: _____ Fax: _____	
<input type="checkbox"/> Administration code(s) (CPT): _____		TIN: _____ PIN: _____	
Address: _____			

### E. PRODUCT INFORMATION

**Request is for Spravato (esketamine):**  
**Dose:** \_\_\_\_\_ **Frequency:** \_\_\_\_\_

### F. DIAGNOSIS INFORMATION - Please indicate primary ICD code and specify any other where applicable.

**Primary ICD Code:**  \_\_\_\_\_ **Secondary ICD Code:** \_\_\_\_\_ **Other ICD Code:** \_\_\_\_\_

### G. CLINICAL INFORMATION - Required clinical information must be completed in its entirety for all precertification requests.

**For ALL Requests (clinical documentation required for all requests):**  
Please indicate the patient's diagnosis:  Treatment resistant depression  Major Depressive Disorder with acute suicidal ideation or behavior  
 Yes  No Does the patient have a moderate or severe substance or alcohol use disorder that is currently not being treated or medically managed?

**For Initiation Requests (clinical documentation required for all requests):**  
 Yes  No Does the patient have a confirmed diagnosis of severe major depressive disorder?  
 Yes  No Does the patient have a moderate or severe substance or alcohol use disorder that is currently not being treated or medically managed?  
 Yes  No Has the episode(s) been documented by standardized rating scale that reliably measures depressive symptoms?  
 Yes  No Please indicate the scale used:  Beck Depression Scale (BDI)  Hamilton Depression Rating Scale (HDRS)  Montgomery-Asberg Depression Rating Scale (MADRS)  Other, please explain: \_\_\_\_\_  
Please indicate the score: \_\_\_\_\_

Yes  No Will the requested drug be prescribed by or in consultation with a psychiatrist?  
 Yes  No Will the requested drug be administered under the direct supervision of a healthcare provider?  
 Yes  No Will the patient be monitored by a health care provider for at least 2 hours after administration?  
 Yes  No Does the patient have major depressive disorder with current suicidal ideation with intent?  
 Yes  No Does the patient have thoughts, even momentarily, of self-harm with at least some intent or awareness that they may die as a result, or the patient thinks about suicide?  
 Yes  No Does the patient intend to act on thoughts of killing themselves?  
 Yes  No Does the prescriber represent that, in the absence of the requested drug, within the next 24 to 48 hours the patient will require confinement in an acute care psychiatric institution?  
 Yes  No Has the patient experienced an inadequate response to an adequate trial of evidenced based psychotherapy (e.g., cognitive behavioral therapy) during the current depressive episode?

Continued on next page.



# Spravato™ (esketamine) Medication Precertification Request

Page 2 of 2

(All fields must be completed and legible for precertification review.)

Aetna Precertification Notification

Phone: 1-866-752-7021

FAX: 1-888-267-3277

For Medicare Advantage Part B:

Phone: 1-866-503-0857

FAX: 1-844-268-7263

Patient First Name	Patient Last Name	Patient Phone	Patient DOB
--------------------	-------------------	---------------	-------------

**G. CLINICAL INFORMATION (continued)** – Required clinical information must be completed in its entirety for all precertification requests.

Yes  No Has the patient experienced an inadequate response with **two** antidepressants (e.g., selective serotonin reuptake inhibitor [SSRI], serotonin-norepinephrine reuptake inhibitor [SNRI], tricyclic antidepressant [TCA], bupropion, mirtazapine) from two different classes during the current depressive episode?

→ Please indicate which of the following **antidepressant agents** were tried:

- Wellbutrin/SR/XL (bupropion)  Marplan (isocarboxazid)  Nardil (phenelzine)  Parnate (tranylcypromine)
- phenelzine  tranylcypromine  amoxapine  maprotiline  mirtazapine/ODT  Olepro ER (trazodone)
- Remeron/Solutab (mirtazapine)  trazodone  Celexa (citalopram)  citalopram  escitalopram  fluoxetine
- fluvoxamine  Lexapro (escitalopram)  Luvox/CR (fluvoxamine)  paroxetine  Paxil/CR (paroxetine)
- Pexeva (paroxetine mesylate)  Prozac/Weekly (fluoxetine)  sertraline  Zoloft (sertraline)  Cymbalta (duloxetine)
- desvenlafaxine/ER  duloxetine  Effexor/XR (venlafaxine)  Fetzima (levomilnacipran)  Irenka (duloxetine)
- Khedezla (desvenlafaxine)  Pristiq (desvenlafaxine)  venlafaxine/ER  amitriptyline  desipramine  doxepin
- Elavil (amitriptyline)  imipramine  Norpramin (desipramine)  nortriptyline  Pamelor (nortriptyline)
- Surmontil (trimipramine)  Tofranil (imipramine)  trimipramine  Other, please explain: \_\_\_\_\_

Please indicate which of the following **antidepressant medication classes** were tried:

- aminoketones (Wellbutrin/SR/XL [bupropion])
- monoamine oxidase inhibitors (MAOIs) (e.g., Marplan, Nardil, Parnate, phenelzine, tranylcypromine)
- noradrenaline and specific serotonergic antidepressants (NASSAs) (e.g., amoxapine, maprotiline, mirtazapine/ODT, Olepro ER, Remeron/Solutab, trazodone)
- selective serotonin reuptake inhibitors (SSRIs) (e.g., Celexa, citalopram, escitalopram, fluoxetine, fluvoxamine, Lexapro, Luvox/CR, paroxetine, Paxil/CR, Pexeva, Prozac/Weekly, sertraline, Zoloft)
- serotonin-norepinephrine reuptake inhibitors (SNRIs) (e.g., Cymbalta, desvenlafaxine/ER, duloxetine, Effexor/XR, Fetzima, Irenka, Khedezla, Pristiq, venlafaxine/ER)
- tricyclic antidepressants (TCAs) (e.g., amitriptyline, desipramine, doxepin, Elavil, imipramine, Norpramin, nortriptyline, Pamelor, Surmontil, Tofranil, trimipramine)
- Other, please explain: \_\_\_\_\_

Yes  No Were the prescribed doses at the maximally tolerated labeled dose?

Please indicate the length of the trial with the first agent: \_\_\_\_\_ weeks/months/years

Please indicate the length of the trial with the second agent: \_\_\_\_\_ weeks/months/years

Yes  No Has the patient experienced an inadequate response with an adequate trial of any of the following augmentation therapies during the current depressive episode?

→ Please identify the augmentation therapy:

- Two antidepressants with different mechanisms of action used concomitantly
- An antidepressant and a second-generation antipsychotic used concomitantly
- An antidepressant and lithium used concomitantly  An antidepressant and thyroid hormone used concomitantly
- An antidepressant and buspirone used concomitantly  Other, please explain: \_\_\_\_\_

Please indicate the length of the trial of augmentation therapy: \_\_\_\_\_ weeks/months/years

Yes  No Will the requested drug be used in combination with an oral antidepressant (e.g., duloxetine, escitalopram, sertraline, venlafaxine)?

→ Please select:  duloxetine  escitalopram  sertraline  venlafaxine  other, please explain: \_\_\_\_\_

**For Continuation Requests (clinical documentation required for all requests):**

Yes  No  Unknown Is the patient currently receiving the requested product through samples or a manufacturer's patient assistance program?

For treatment resistant depression only:

Yes  No Has there been improvement or sustained improvement from baseline in depressive symptoms documented by standardized rating scales that reliably measure depressive symptoms (e.g., Beck Depression Scale [BDI], Hamilton Depression Rating Scale [HDRS], Montgomery-Asberg Depression Rating Scale [MADRS], etc.)?

→ Please indicate the scale and score: Scale: \_\_\_\_\_ Score: \_\_\_\_\_

**H. ACKNOWLEDGEMENT**

**Request Completed By (Signature Required):** \_\_\_\_\_ **Date:** \_\_\_\_ / \_\_\_\_ / \_\_\_\_

Any person who knowingly files a request for authorization of coverage of a medical procedure or service with the intent to injure, defraud or deceive any insurance company by providing materially false information or conceals material information for the purpose of misleading, commits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penalties.

The plan may request additional information or clarification, if needed, to evaluate requests.