



# Keytruda® (pembrolizumab) Injectable Medication Precertification Request

Page 1 of 5

(All fields must be completed and legible for Precertification Review.)

Aetna Precertification Notification  
503 Sunport Lane, Orlando, FL 32809

Phone: 1-866-503-0857

FAX: 1-888-267-3277

For Medicare Advantage Part B:

FAX: 1-844-268-7263

Please indicate:  Start of treatment: Start date \_\_\_\_ / \_\_\_\_ / \_\_\_\_  
 Continuation of therapy: Date of last treatment \_\_\_\_ / \_\_\_\_ / \_\_\_\_

Precertification Requested By: \_\_\_\_\_ Phone: \_\_\_\_\_ Fax: \_\_\_\_\_

### A. PATIENT INFORMATION

First Name:		Last Name:	
Address:		City:	State: ZIP:
Home Phone:	Work Phone:	Cell Phone:	
DOB:	Allergies:	Email:	
Current Weight: _____ lbs or _____ kgs		Height: _____ inches or _____ cms	

### B. INSURANCE INFORMATION

Aetna Member ID #: _____	Does patient have other coverage? <input type="checkbox"/> Yes <input type="checkbox"/> No
Group #: _____	If yes, provide ID#: _____ Carrier Name: _____
Insured: _____	Insured: _____
Medicare: <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide ID #: _____	Medicaid: <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide ID #: _____

### C. PRESCRIBER INFORMATION

First Name:		Last Name:		(Check One): <input type="checkbox"/> M.D. <input type="checkbox"/> D.O. <input type="checkbox"/> N.P. <input type="checkbox"/> P.A.	
Address:		City:	State:	ZIP:	
Phone:	Fax:	St Lic #:	NPI #:	DEA #:	UPIN:
Provider Email:		Office Contact Name:		Phone:	
Specialty (Check one): <input type="checkbox"/> Oncologist <input type="checkbox"/> Other: _____					

### D. DISPENSING PROVIDER/ADMINISTRATION INFORMATION

<b>Place of Administration:</b> <input type="checkbox"/> Self-administered <input type="checkbox"/> Physician's Office <input type="checkbox"/> Outpatient Infusion Center Phone: _____ Center Name: _____ <input type="checkbox"/> Home Infusion Center Phone: _____ Agency Name: _____ <input type="checkbox"/> Administration code(s) (CPT): _____ Address: _____	<b>Dispensing Provider/Pharmacy: Patient Selected choice</b> <input type="checkbox"/> Physician's Office <input type="checkbox"/> Retail Pharmacy <input type="checkbox"/> Specialty Pharmacy <input type="checkbox"/> Mail Order <input type="checkbox"/> Other: _____ Name: _____ Address: _____ Phone: _____ Fax: _____ TIN: _____ PIN: _____
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### E. PRODUCT INFORMATION

Request is for Keytruda (pembrolizumab): Dose: \_\_\_\_\_ Frequency: \_\_\_\_\_

### F. DIAGNOSIS INFORMATION – Please indicate primary ICD Code and specify any other where applicable.

Primary ICD Code: \_\_\_\_\_ Secondary ICD Code: \_\_\_\_\_ Other ICD Code: \_\_\_\_\_

### G. CLINICAL INFORMATION – Required clinical information must be completed in its entirety for all precertification requests.

**For All Requests (clinical documentation required for all requests):**

Yes  No Has the patient experienced disease progression following a prior anti-PD1 therapy (e.g., nivolumab (Opdivo), pembrolizumab (Keytruda), atezolizumab (Tecentriq), avelumab (Bavencio), and durvalumab (Imfinzi))?

Anal adenocarcinoma  Appendiceal carcinoma  Colorectal cancer  Small bowel adenocarcinoma

Yes  No Will Keytruda (pembrolizumab) be used as a single agent?

Yes  No Does the cancer demonstrate a defective mismatch repair (dMMR)?

    → Please indicate the tumor's microsatellite instability (MSI) status:  
Select one:  Microsatellite-stable (MSS)  Low microsatellite instability (MSI-L)  High microsatellite instability (MSI-H)

Yes  No Does the patient have unresectable metachronous metastases?

    →  Yes  No Will Keytruda (pembrolizumab) be used for primary treatment of cancer?

        →  Yes  No Has the patient had previous adjuvant treatment? **If yes, please identify adjuvant treatment tried:**  
 FOLFOX  CapeOx  Other: please identify: \_\_\_\_\_  
Please provide the last adjuvant treatment date: \_\_\_\_ / \_\_\_\_ / \_\_\_\_

Yes  No Does the patient have unresectable advanced or metastatic disease?  unresectable advanced disease  metastatic disease

    →  Yes  No Will Keytruda (pembrolizumab) be used for initial therapy?

        →  Yes  No Will Keytruda (pembrolizumab) be used for subsequent therapy?

            → Which of the following therapies has the patient previously received? (Check all that apply)  
 oxaliplatin  irinotecan  fluoropyrimidine based therapy  nivolumab (Opdivo)  
 pembrolizumab (Keytruda)  Other, please identify: \_\_\_\_\_  
Please provide the date range of use: \_\_\_\_ / \_\_\_\_ / \_\_\_\_ to \_\_\_\_ / \_\_\_\_ / \_\_\_\_

            →  Yes  No Is this patient appropriate for intensive therapy?

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**G. CLINICAL INFORMATION (continued) – Required clinical information must be completed in its entirety for all precertification requests.**

**Gastric cancer**

Yes  No Does the patient have recurrent locally advanced or metastatic gastric cancer?  Recurrent locally advanced  Metastatic

Yes  No Does the patient have gastroesophageal junction (GEJ) adenocarcinoma?

Yes  No Are tumors positive for PD-L1 expression?

Yes  No Is the Combined Positive Score (CPS) ≥1?

Yes  No Has the patient experienced disease progression **on or after** two or more prior lines of therapy?

Yes  No Has the patient been previously treated with fluoropyrimidine- and platinum- containing chemotherapy?

→ Which of the following platinum-containing chemotherapy regimens has the patient tried?

Carboplatin  Cisplatin  Eloxatin  Oxaliplatin  Paraplatin  Platinol  Other - Please identify: \_\_\_\_\_

Please provide the date range of use: \_\_\_\_/\_\_\_\_/\_\_\_\_ to \_\_\_\_/\_\_\_\_/\_\_\_\_

→ Which of the following fluoropyrimidine-containing chemotherapy regimens has the patient tried?

Capecitabine  Floxuridine  Fluorouracil (5FU)  Other - Please identify: \_\_\_\_\_

Please provide the date range of use: \_\_\_\_/\_\_\_\_/\_\_\_\_ to \_\_\_\_/\_\_\_\_/\_\_\_\_

Yes  No Is the cancer classified as human epidermal growth factor receptor 2 positive?

Yes  No Has the patient previously received treatment with an approved human epidermal growth factor receptor 2 targeted therapy?

→ Please indicate therapy tried:  Herceptin (trastuzumab)  None of the above

**Head and neck cancer**

Yes  No Does the patient have head and neck squamous cell carcinoma?

→ Please indicate the type:  Non-nasopharyngeal  Nasopharyngeal  Other - Please identify: \_\_\_\_\_

Yes  No Does the patient have persistent or very advanced and recurrent disease?  Persistent  Very advanced and recurrent

Yes  No Will Keytruda (pembrolizumab) be used as a single agent?

Yes  No Has the patient tried a platinum-containing chemotherapy regimen?

→ Please indicate the platinum-containing chemotherapy regimens the patient tried:

Carboplatin  Cisplatin  Eloxatin  Oxaliplatin  Paraplatin  Platinol  Other - Please identify: \_\_\_\_\_

Please provide the date range of use: \_\_\_\_/\_\_\_\_/\_\_\_\_ to \_\_\_\_/\_\_\_\_/\_\_\_\_

Yes  No Has there been disease progression **on** platinum-containing chemotherapy treatment?

Yes  No Has there been disease progression **after** platinum-containing chemotherapy treatment?

Please indicate the patient's ECOG performance status:  0  1  2  3  4  5

Please indicate the patient's disease state: **check all that apply:**  Newly diagnosed with very advanced local disease (T4b)  Unfit for surgery

Regional lymph nodes involvement (N)  Unresectable nodal disease with no metastases  Second primary with prior radiation therapy (RT)

Metastatic (M1) disease at initial presentation  Recurrent/persistent disease with distant metastases  No distant metastasis (M0)

Unresectable locoregional recurrence and no prior radiation therapy (RT)  Unresectable locoregional recurrence

Other- Please identify: \_\_\_\_\_

**Hodgkin lymphoma**

Yes  No Is there documentation that the patient has been diagnosed with Classical Hodgkin lymphoma?

Yes  No Does the patient have refractory or relapsed disease?  Refractory  Relapsed

→ Please indicate the previous treatment and date range taken: \_\_\_\_\_ Date range: \_\_\_\_/\_\_\_\_/\_\_\_\_ - \_\_\_\_/\_\_\_\_/\_\_\_\_

Yes  No Will Keytruda (pembrolizumab) be used as single agent therapy?

Yes  No Has the patient relapsed after 3 or more prior lines of therapy?

→ Please indicate the previous treatments and date range of use:

First line of therapy: \_\_\_\_\_ Date range: \_\_\_\_/\_\_\_\_/\_\_\_\_ - \_\_\_\_/\_\_\_\_/\_\_\_\_

Second line of therapy: \_\_\_\_\_ Date range: \_\_\_\_/\_\_\_\_/\_\_\_\_ - \_\_\_\_/\_\_\_\_/\_\_\_\_

Third line of therapy: \_\_\_\_\_ Date range: \_\_\_\_/\_\_\_\_/\_\_\_\_ - \_\_\_\_/\_\_\_\_/\_\_\_\_

Yes  No Will Keytruda (pembrolizumab) be used as additional therapy?

→  Yes  No Has the patient been treated previously with brentuximab vedotin?

**If yes**, please provide date range: \_\_\_\_/\_\_\_\_/\_\_\_\_ - \_\_\_\_/\_\_\_\_/\_\_\_\_

Yes  No Will Keytruda (pembrolizumab) be used as palliative therapy?

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**G. CLINICAL INFORMATION (continued) – Required clinical information must be completed in its entirety for all precertification requests.**

**Melanoma**

- Yes  No Does the patient have unresectable melanoma?
- Yes  No Does the patient have metastatic melanoma?
- Yes  No Will Keytruda (pembrolizumab) be used as a single agent?
- Yes  No Will Keytruda (pembrolizumab) be used as first line therapy?
- Yes  No Will Keytruda (pembrolizumab) be used as second-line or subsequent therapy?  Second-line therapy  Subsequent therapy
- ↳ Please indicate the previous treatment and date range used: \_\_\_\_\_ Date range: \_\_\_\_/\_\_\_\_/\_\_\_\_ - \_\_\_\_/\_\_\_\_/\_\_\_\_
- Yes  No Did the patient experience disease progression with the previous treatment?
- Yes  No Was the previous treatment an anti-PD1 monotherapy?
- Yes  No Did the patient reach the maximum clinical benefit from BRAF targeted therapy?
- Yes  No Will Keytruda (pembrolizumab) be used as re-induction therapy?
- ↳  Yes  No Has the patient experienced disease control with no residual toxicity?
- Yes  No Has the patient experienced disease progression or relapse after treatment discontinuation?  Disease progression  Relapse
- ↳ Please indicate the start date of treatment: \_\_\_\_/\_\_\_\_/\_\_\_\_
- Please indicate the patient's ECOG performance status:  0  1  2  3  4  5

**Merkel cell carcinoma**

- Please select the indication:  Distant metastatic disease  Disseminated recurrence  Other- Please specify: \_\_\_\_\_
- Please indicate how Keytruda (pembrolizumab) will be used:  With surgery  With radiation  Without surgery or radiation

**Non-small cell lung cancer (NSCLC)**

- Yes  No Will Keytruda (pembrolizumab) be used as subsequent therapy or first line treatment?  subsequent therapy  first line treatment
- Yes  No Will Keytruda (pembrolizumab) be used as a single agent?
- ↳  Yes  No Will Keytruda (pembrolizumab) be used in combination with pemetrexed and carboplatin?
- Yes  No Does the patient have a documented diagnosis of nonsquamous NSCLC?
- Yes  No Does the patient have metastatic disease?
- Yes  No Is there documentation that the disease expresses positive PD-L1 protein (>50%)?
- ↳  Yes  No Is there clinical evidence that the patient has recurrent or metastatic disease?  Recurrent  Metastatic
- Please indicate the patient's disease:
- Sensitizing EGFR mutation-positive tumors
- ↳  Yes  No Has the patient had prior erlotinib, afatinib, gefitinib, or osimertinib therapy?
- If yes**, Please select:  erlotinib (Tarceva)  afatinib (Gilotrif)  gefitinib (Iressa)  osimertinib (Tagrisso)
- ALK rearrangement-positive tumors
- ↳  Yes  No Has the patient had prior brigatinib, crizotinib, ceritinib, or alectinib therapy?
- If yes**, Please select:  brigatinib (Alunbrig)  crizotinib (Xalkori)  ceritinib (Zykadia)  alectinib (Alecensa)
- ROS1 rearrangement-positive tumors
- ↳  Yes  No Has the patient had prior crizotinib therapy?
- Tumors that are EGFR, ALK, and ROS1 negative or unknown
- Yes  No Is there documentation that the disease expresses a PD-L1 protein? **If yes**, please provide the percentage: \_\_\_\_\_%
- Please indicate the patient's ECOG performance status:  0  1  2  3  4  5
- Yes  No Does the patient have metastatic disease?
- Yes  No Has the patient already received systemic immune checkpoint inhibitors?
- ↳ **If yes**, please indicate:  Bavencio (avelumab)  Imfinzi (durvalumab)  Tecentriq (atezolizumab)
- Keytruda (pembrolizumab)  Opdivo (nivolumab)  Other: \_\_\_\_\_
- Yes  No Will Keytruda (pembrolizumab) be used as subsequent therapy following disease progression on a first-line cytotoxic regimen or for further progression on other systemic therapy? **If yes**, Please select:
- Following progression on a first-line cytotoxic regimen
- For further progression on other systemic therapy

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**G. CLINICAL INFORMATION (continued) – Required clinical information must be completed in its entirety for all precertification requests.**

**Mycosis fungoides or Sezary syndrome**

Yes  No Will Keytruda (pembrolizumab) be used as second-line systemic therapy?

**Please select which disease stage applies to the patient:**

Stage IB-IIA  Yes  No Is the patient diagnosed with mycosis fungoides?  
 Yes  No Is there histologic evidence of folliculotropic or large cell transformation?  
 Please select:  Folliculotropic cell transformation  Large cell transformation

Stage IIB  Yes  No Is the patient diagnosed with mycosis fungoides?  
 Yes  No Does the patient have generalized tumor lesions?  
 Yes  No Are the lesions being treated with or without skin-directed therapy?  
 Please select:  With skin-directed therapy  Without skin-directed therapy

Stage III  Yes  No Is the patient diagnosed with mycosis fungoides or Sezary syndrome?  Mycosis fungoides  Sezary syndrome  
 Yes  No Has the disease progressed or is refractory to multiple previous therapies?  
 Please select:  Disease progressed  Refractory to multiple therapies

Please provide the name and date of the previous therapy: \_\_\_\_\_ Date: \_\_\_\_/\_\_\_\_/\_\_\_\_

Stage IV  Yes  No Is the patient diagnosed with non-Sezary or visceral disease?  Non-Sezary  Visceral disease

**Solid tumors**

Please identify the solid tumor type:  Ampullary  Biliary  Breast  Cervical  Endometrial  Esophageal  Gastric  Hepatocellular  
 Ovarian  Prostate  Renal cell  Sarcoma  Other- Please specify: \_\_\_\_\_

Please select which applies to the tumor:  Microsatellite instability-high (MSI-H) solid tumor  Mismatch repair deficient solid tumors  Other: \_\_\_\_\_

Yes  No Is the tumor unresectable or metastatic?  Unresectable  Metastatic

Yes  No Has the patient's disease progressed following prior treatment?

Please indicate the prior treatment: \_\_\_\_\_ Date range: \_\_\_\_/\_\_\_\_/\_\_\_\_ - \_\_\_\_/\_\_\_\_/\_\_\_\_

Yes  No Does the patient have any other satisfactory alternative treatment options?

**Urothelial carcinomas**

**Bladder cancer:**

Yes  No Will Keytruda (pembrolizumab) be used as a single agent?

Please indicate clinical stage of the disease:  TX-T1, NX-3, M0  T2-T4a, N 1-3, M0  T4b, NX-3, M0  Other please identify: \_\_\_\_\_

Please select which applies to the patient's disease state:

Metastatic disease  
 Yes  No Will Keytruda (pembrolizumab) be used as subsequent systemic therapy?

Post cystectomy  
 Yes  No Will Keytruda (pembrolizumab) be used for recurrence?

Other, please explain: \_\_\_\_\_

**Primary carcinoma of the urethra**

Yes  No Will Keytruda (pembrolizumab) be used as a single agent?

Please indicate the patient's disease state:  Recurrent disease  Metastatic disease  Other- Please specify: \_\_\_\_\_

Yes  No Will Keytruda (pembrolizumab) be used as subsequent therapy?

**Upper genitourinary tract tumors**

Yes  No Will Keytruda (pembrolizumab) be used as a single agent?

Yes  No Does the patient have a metastatic disease?

Yes  No Will Keytruda (pembrolizumab) be used as subsequent therapy?

**Urothelial carcinoma of the prostate**

Yes  No Will Keytruda (pembrolizumab) be used as a single agent?

Yes  No Does the patient have a metastatic disease?

Yes  No Will Keytruda (pembrolizumab) be used as subsequent therapy?

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**For Continuation Requests:**  
Please indicate the start date of therapy: \_\_\_\_\_

Yes  No Has the patient experienced disease progression while on Keytruda (pembrolizumab)?  
 → Please specify the type of disease progression:  
 Locoregional metastasis                       Distant nodal metastasis  
 Soft tissue metastasis                               Lung metastasis  
 Visceral metastasis other than lung (i.e.: liver, brain, bone)  
 Other – please specify: \_\_\_\_\_

Yes  No Has the patient developed an intolerance or toxicity to Keytruda (pembrolizumab)?  
 → Please specify the type of disease progression:  
 Immune-mediated pneumonitis                       Immune-mediated colitis  
 Immune-mediated hepatitis                               Infusion-related reactions  
 Immune-mediated endocrinopathies                       Renal failure and Immune-mediated nephritis  
 Other Immune-mediated adverse reactions – Please specify: \_\_\_\_\_  
 Other – Please specify: \_\_\_\_\_

**H. ACKNOWLEDGEMENT**

**Request Completed By (Signature Required):** \_\_\_\_\_ **Date:** \_\_\_\_ / \_\_\_\_ / \_\_\_\_

Any person who knowingly files a request for authorization of coverage of a medical procedure or service with the intent to injure, defraud or deceive any insurance company by providing materially false information or conceals material information for the purpose of misleading, commits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penalties.

The plan may request additional information or clarification, if needed, to evaluate requests.