



Herceptin® (trastuzumab), Kadcyła® (ado-trastuzumab) and Perjeta® (pertuzumab) Injectable Medication Precertification Request

Aetna Precertification Notification
 503 Sunport Lane, Orlando, FL 32809
Phone: 1-866-503-0857
FAX: 1-888-267-3277

Page 1 of 3
 (All fields must be completed and legible for Precertification Review.)

For Medicare Advantage Part B:
FAX: 1-844-268-7263

Please indicate: Start of treatment: Start date ____ / ____ / ____
 Continuation of therapy: Date of last treatment ____ / ____ / ____

Precertification Requested By: _____ **Phone:** _____ **Fax:** _____

A. PATIENT INFORMATION

First Name:		Last Name:	
Address:		City:	State: ZIP:
Home Phone:	Work Phone:	Cell Phone:	
DOB:	Allergies:	E-mail:	
Current Weight: _____ lbs or _____ kgs		Height: _____ inches or _____ cms	

B. INSURANCE INFORMATION

Aetna Member ID #: _____	Does patient have other coverage? <input type="checkbox"/> Yes <input type="checkbox"/> No
Group #: _____	If yes, provide ID#: _____ Carrier Name: _____
Insured: _____	Insured: _____
Medicare: <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide ID #: _____ Medicaid: <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide ID #: _____	

C. PRESCRIBER INFORMATION

First Name:	Last Name:			(Check One): <input type="checkbox"/> M.D. <input type="checkbox"/> D.O. <input type="checkbox"/> N.P. <input type="checkbox"/> P.A.	
Address:		City:	State:	ZIP:	
Phone:	Fax:	St Lic #:	NPI #:	DEA #:	UPIN:
Provider E-mail:			Office Contact Name:		Phone:

Specialty (Check one): Oncologist Other: _____

D. DISPENSING PROVIDER/ADMINISTRATION INFORMATION

Place of Administration: <input type="checkbox"/> Self-administered <input type="checkbox"/> Physician's Office <input type="checkbox"/> Outpatient Infusion Center Phone: _____ Center Name: _____ <input type="checkbox"/> Home Infusion Center Phone: _____ Agency Name: _____ Address: _____ <input type="checkbox"/> Administration code(s) (CPT): _____	Dispensing Provider/Pharmacy: Patient Selected choice <input type="checkbox"/> Physician's Office <input type="checkbox"/> Retail Pharmacy <input type="checkbox"/> Specialty Pharmacy <input type="checkbox"/> Mail Order <input type="checkbox"/> Other: _____ Name: _____ Address: _____ Phone: _____ Fax: _____ TIN: _____ PIN: _____
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E. PRODUCT INFORMATION

Request is for: Herceptin (trastuzumab) Perjeta (pertuzumab) Kadcyła (ado-trastuzumab emtansine)
Dose: _____ **Frequency:** _____

F. DIAGNOSIS INFORMATION – Please indicate primary ICD Code and specify any other where applicable.

Primary ICD Code: _____ Secondary ICD Code: _____ Other ICD Code: _____

G. CLINICAL INFORMATION – Required clinical information must be completed in its entirety for all precertification requests.

For All Requests:
 Yes No Does the patient have HER2 protein overexpression documented by one of the following:
 → **Check all that apply:**
 Immunohistochemistry (IHC) Assay level of 3+
 → Results _____ Date of Test: ____ / ____ / ____
 Positive Fluorescent in situ hybridization (FISH) HER2 gene copy of greater than 6 signals/nucleus
 → Results _____ Date of Test: ____ / ____ / ____
 Positive Fluorescent in situ hybridization (FISH) HER2 gene/ chromosome 17 ratio greater than or equal to 2.0
 → Results _____ Date of Test: ____ / ____ / ____

HERCEPTIN (trastuzumab):
 Esophageal adenocarcinomas Gastric adenocarcinoma Esophageal-gastric junction adenocarcinoma
 Yes No Will trastuzumab (Herceptin) be used as palliative therapy?
 Yes No Will trastuzumab (Herceptin) be used in combination with systemic chemotherapy?
 → Please provide the name of the systemic chemotherapy: _____

Salivary gland tumors
 Yes No Does the patient have recurrent disease with distant metastases?
 Please indicate how trastuzumab (Herceptin) will be used: single agent Other: Please explain: _____
 in combination with systemic chemotherapy: Name of systemic chemotherapy: _____

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G. CLINICAL INFORMATION (continued) – Required clinical information must be completed in its entirety for all precertification requests.

HER2 positive breast cancer

Yes No Does the patient have recurrent, metastatic, stage IV disease or leptomeningeal metastases from breast cancer (as intracerebrospinal fluid treatment)? Recurrent disease Metastatic disease Stage IV disease
 Leptomeningeal metastases from breast cancer (as intracerebrospinal fluid treatment)

Yes No Will trastuzumab (Herceptin) be used as pre-operative (neoadjuvant) systemic therapy?
 Please select in which of the following settings trastuzumab (Herceptin) will be used:
 Node-positive disease likely to become node-negative with pre-operative systemic therapy
 Locally advanced disease Individuals who fulfill criteria for breast-conserving surgery except for tumor size
 None of the above

Yes No Will trastuzumab (Herceptin) be used as adjuvant therapy?
 Yes No Will trastuzumab (Herceptin) be used as part of a complete treatment regimen?

PERJETA (pertuzumab) with HERCEPTIN (trastuzumab): (please ensure dosing and instructions for both drugs are documented in section E)

HER2 positive breast cancer

Please select which type of treatment Perjeta (pertuzumab) and Herceptin (trastuzumab) is being used for:

Adjuvant therapy
 Yes No Is the patient's disease node-positive or at high-risk for recurrence?
 Please select: Node-positive At high-risk for recurrence Other: _____

Preoperative (neoadjuvant) therapy
 Please select in which of the following settings Perjeta (pertuzumab) with Herceptin (trastuzumab) will be used:
 Node-positive disease likely to become node-negative with pre-operative systemic therapy
 Individuals who desire breast preservation and fulfill criteria for breast-conserving surgery except for tumor size
 Locally advanced disease None of the above

Other
 Please indicate which applies to the patient's disease: Recurrent disease Metastatic disease
 Yes No Does the patient have symptomatic visceral disease or visceral crisis?
 Please specify: Symptomatic visceral disease Visceral crisis

KADCYLA (ado-trastuzumab emtansine):

Yes No Does the patient have a documented diagnosis of HER2-positive lung cancer?
 Yes No Is the patient being treated for HER2-positive recurrent or metastatic breast cancer?
 Please indicate which applies: Recurrent breast cancer Metastatic breast cancer

Yes No Does the patient have symptomatic visceral disease or visceral crisis?
If yes, please specify: symptomatic visceral disease Visceral crisis
 Please indicate the type of breast cancer: Hormone receptor- negative Hormone receptor- positive
 Other: _____

Yes No Is the breast cancer refractory to endocrine therapy?
 Yes No Will Kadcyła (ado-trastuzumab emtansine) be used as a single agent?
 Yes No Will Kadcyła (ado-trastuzumab emtansine) be used in the adjuvant setting?
 Yes No Will Kadcyła (ado-trastuzumab emtansine) be used concomitantly with Herceptin (trastuzumab), Tykerb (lapatinib), or Perjeta (pertuzumab)?

For Continuation Requests:

Yes No Has the patient experienced disease progression or unacceptable toxicity while on HER2 therapy?
 Please indicate: Disease progression Unacceptable toxicity

HERCEPTIN (trastuzumab):

For HER2-positive breast cancer only:

Yes No Is there clinical evidence of distant metastatic disease?
 Please provide initial start date: ____/____/____

PERJETA (pertuzumab) with HERCEPTIN (trastuzumab):

Yes No Is there clinical evidence of distant metastatic disease?
 Please provide initial start date: ____/____/____

KADCYLA (ado-trastuzumab emtansine):

Yes No Is Kadcyła (ado-trastuzumab emtansine) being used in the adjuvant setting?
 Yes No Is Kadcyła (ado-trastuzumab emtansine) being used concomitantly with Herceptin (trastuzumab), Tykerb (lapatinib), or Perjeta (pertuzumab)?

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**Herceptin[®] (trastuzumab), Kadcyca[®]
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H. ACKNOWLEDGEMENT

Request Completed By (Signature Required): _____ **Date:** ____ / ____ / ____

Any person who knowingly files a request for authorization of coverage of a medical procedure or service with the intent to injure, defraud or deceive any insurance company by providing materially false information or conceals material information for the purpose of misleading, commits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penalties.

The plan may request additional information or clarification, if needed, to evaluate requests.