

Gender Reassignment Surgery Precertification Information Request Form

Applies to:

Aetna plans

Innovation Health® plans

Health benefits and health insurance plans offered, underwritten, and/or administered by the following:

Allina Health and Aetna Health Insurance Company (Allina Health | Aetna)

Banner Health and Aetna Health Insurance Company and/or Banner Health and Aetna Health Plan Inc. (Banner | Aetna)

Sutter Health and Aetna Administrative Services LLC (Sutter Health | Aetna)

Texas Health + Aetna Health Plan Inc. and Texas Health + Aetna Health Insurance Company (Texas Health Aetna)

aetna®

Aetna is the brand name used for products and services provided by one or more of the Aetna group of subsidiary companies, including Aetna Life Insurance Company and its affiliates (Aetna). Aetna provides certain management services on behalf of its affiliates.

Gender Reassignment Surgery Precertification Information Request Form

About this form

You can't use this form to initiate a precertification request. To initiate a request, you have to call our Precertification Department. Or you can submit your request electronically. **Failure to complete this form and submit all of the medical records we are requesting may result in the delay of review.**

Effective **May 24, 2018**, this form replaces all other gender reassignment surgery precertification information request documents and forms. This form will help you supply the right information with your precertification request. You don't have to use the form. But it will help us adjudicate your request more quickly.

How to fill out this form

As the patient's attending physician, you must complete all sections of the form.

You can use this form with all Aetna health plans, including Aetna's Medicare Advantage plans. You can also use this form with health plans for which Aetna provides certain management services.

When you're done

Once you've filled out the form, submit it and all requested medical documentation to our Precertification Department by:

- **(Preferred)** Upload your information electronically on our secure provider website on NaviNet® at **connect.navinet.net**.
 - Complete a Precertification Inquiry transaction for the patient.
 - When the inquiry is successful, click the "Add Attachment" link in the upper right corner of the screen.
 - Upload your document(s) and click "Attach." The window will close and you will return to Precert Inquiry screen.
- Send your information via confidential fax to:
 - Precertification – Commercial Plans: **859-455-8650**
 - Precertification - Medicare Advantage Standard Organization Determination: **859-455-8650**
 - Precertification - Medicare Advantage (expedited only): **860-754-5468**
- Mail your information to: **PO Box 14079**
Lexington, KY 40512-4079

What happens next?

Once we receive the requested documentation, we'll perform a clinical review. Then we'll make a coverage determination and let you know our decision. Your administrative reference number will be on the electronic precertification response.

How we make coverage determinations

If you request precertification for a Medicare Advantage member, we use CMS benefit policies, including national coverage determinations (NCD) and local coverage determinations (LCD) when available, to make our coverage determinations. If there isn't an available NCD or LCD to review, then we'll use the Clinical Policy Bulletin referenced below to make the determination.

For all other members, we encourage you to review **Clinical Policy Bulletin #615: Gender Reassignment Surgery**, before you complete this form.

You can find the Clinical Policy Bulletins and Precertification Lists by visiting the website on the back of the member's ID card.

Questions?

If you have any questions about how to fill out the form or our precertification process, call us at:

- HMO plans: **1-800-624-0756**
- Traditional plans: **1-888-632-3862**

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Section 1: Provide the following general information	
Member name:	Administrative reference number (required):
Member ID:	Member date of birth:
Requesting provider/facility name:	
Requesting provider/facility NPI:	
Requesting provider/facility phone number: 1- - -	
Requesting provider/facility fax number: 1- - -	
Assistant/co-surgeon name (if applicable):	TIN:
Section 2: Provide the following patient-specific information	
Is this a new or continued treatment? <input type="checkbox"/> New <input type="checkbox"/> Continued	
Does the patient have persistent, well-documented gender dysphoria?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Does the patient have the capacity to make a fully informed decision and to consent for treatment?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Does the patient currently have significant medical concerns?	<input type="checkbox"/> Yes <input type="checkbox"/> No
If yes, are they reasonably well controlled? <input type="checkbox"/> Yes <input type="checkbox"/> No	
Does the patient currently have significant mental health concerns?	<input type="checkbox"/> Yes <input type="checkbox"/> No
If yes, are they reasonably well controlled? <input type="checkbox"/> Yes <input type="checkbox"/> No	
Does the patient have substance abuse and/or chemical dependency concerns?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Is the request for female-to-male services? <input type="checkbox"/> Yes <input type="checkbox"/> No	If yes, go to Section 3.
Is the request for male-to-female services? <input type="checkbox"/> Yes <input type="checkbox"/> No	If yes, go to Section 4.
Section 3: Provide the following patient-specific information	
Select the requested service(s):	
<input type="checkbox"/> Mastectomy	
<input type="checkbox"/> Does the patient have one referral letter from a qualified mental health professional?	<input type="checkbox"/> Yes <input type="checkbox"/> No
<input type="checkbox"/> Gonadectomy (hysterectomy and oophorectomy) or Genital reconstructive surgery (vaginectomy, urethroplasty, metoidioplasty, phalloplasty, scrotoplasty, placement of a testicular prosthesis and erectile prosthesis)	
<input type="checkbox"/> Does the patient have two referral letters from qualified mental health professionals, one in a purely evaluative role?	<input type="checkbox"/> Yes <input type="checkbox"/> No
<input type="checkbox"/> Does the patient have 12 months of continuous hormone therapy appropriate for their gender goals?	<input type="checkbox"/> Yes <input type="checkbox"/> No
If no, does the patient have a contraindication or is otherwise unable or unwilling to take hormones?	<input type="checkbox"/> Yes <input type="checkbox"/> No
<input type="checkbox"/> Genital reconstructive surgery only: Has the patient been living in a gender role that is congruent with their gender identity (real-life experience) for 12 months?	<input type="checkbox"/> Yes <input type="checkbox"/> No

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Section 4: Complete this section if request is for male-to-female services

Select the requested service(s):

- Orchiectomy or Genital reconstructive surgery** (penectomy, vaginoplasty, labiaplasty and clitoroplasty)
- Does the patient have two referral letters from qualified mental health professionals, one in a purely evaluative role? Yes No
- Does the patient have 12 months of continuous hormone therapy appropriate for their gender goals? Yes No
- If no, does the patient have a contraindication or is otherwise unable or unwilling to take hormones? Yes No
- Genital reconstructive surgery only:** Has the patient been living in a gender role that is congruent with their gender identity (real-life experience) for 12 months? Yes No

Section 5: Provide the following documentation for your request

- Current history and physical
- Office notes related to the patient's condition
- Description of proposed treatment
- Mental health referral letter(s)
- Documentation of mental health conditions, if applicable
- Documentation of substance abuse/chemical dependency issues, if applicable
- Documentation of hormone therapy, including duration, if applicable

Section 6: Read this important information

Any person who knowingly files a request for authorization of coverage of a medical procedure or service with the intent to injure, defraud or deceive any insurance company by providing materially false information or conceals material information for the purpose of misleading, commits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penalties.

Section 7: Sign the form

Just remember: You can't use this form to initiate a precertification request. To initiate a request, you have to call our Precertification Department. Or you can submit your request electronically.

Signature of treating doctor or other qualified healthcare provider:

Date: / /

Contact name of office personnel to call with questions:

Telephone number: 1- - -